PATENTS

Attorney Docket No.: LGB/002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

: Roe Peterson

Application No. : 09/695,551

Confirmation No.: 2563

Filed

: October 24, 2000

For

: ADAPTIVE BIDDING INCREMENTS IN AN ONLINE

AUCTION SYSTEM

Group Art Unit : 3624

Examiner

: Charles R. Kyle

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, applicant wishes to call the attention of the Examiner to the following documents:

Foreign Patents

Science Applications

CA 2,345,241 A1 03/30/2000

Other Documents

"Design of an Internet-Based System for Remote Dutch Auctions, " Todd Rockoff et al., Internet Research: Electronic Networking Applications and Policy, Vol. 5, No. 4, 1995, pages 10-16.

"Online Auctions: Insights and Analysis," Ravi Bapna et al., Working Paper, Later Revised and Reprinted in Communications of the ACM as Insights and Analysis of Online Auctions, 1999, pages 1-22.

These documents are listed on the accompanying Form PTO-SB/08A (submitted in duplicate), and pursuant to 37 C.F.R. § 1.98(a)(2), copies of the non-U.S. Patent documents are enclosed herewith.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

It is respectfully requested that these references be:

(1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO-SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

These references were cited in corresponding Canadian Patent Application No. 2,329,281. This Statement is submitted after the mailing date of the first Office Action on the merits. However, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Supplemental Information Disclosure Statement was known to any individual designated in § 1.56 (c) more than three months prior to the filing of this Statement. Pursuant to 37 C.F.R. § 1.97(b)(3), applicant believes no fee is due in connection with this statement. However, if for any reason a fee is due, the Director is hereby authorized to charge payment any fees required in connection with this Statement, or credit any overpayment of the same, to Deposit Account No. 06-1075 (Order No.: 102761.0003). A duplicate copy of this Supplemental Information Disclosure Statement is enclosed herewith.

An early and favorable action is respectfully requested.

Respectfully submitted,

Jeffrey C. Aldridge

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Substitute for form 1449/PTO			0	Complete if known		
				Application Number	09/695,551 (Conf. No.: 2563)	
INFO	RMATION	DISC	CLOSURE	Filing Date	October 24, 2000	
STA	TEMENT B	Y AP	PLICANT	First Named Inventor	Roe Peterson	
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(use	(use as many sheets as necessary)		Examiner Name	Charles R. Kyle		
Sheet	1	of	1	Attorney Docket Number	LGB/002	

		U.S	. PATENT DOC	CUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
initials*	No.¹	Number – Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Documents	Figures Appear
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		FORE	IGN PATENT D	OCUMENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
initials	No.1	Country Code ¹ - Number ² - Kind Code ³	MM-DD-YYYY	Applicant of Cited Documents	Passages or Relevant Figures Appear	ļ*
		CA 2,345,241 A1	03/30/2000	Science Applications		

Examiner initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T⁵
		"Design of an Internet-Based System for Remote Dutch Auctions," Todd Rockoff et al., Internet Research: Electronic Networking Applications and Policy, Vol. 5, No. 4, 1995, pages 10-16.	
		"Online Auctions: Insights and Analysis," Ravi Bapna et al., Working Paper, Later Revised and Reprinted in Communications of the ACM as Insights and Analysis of Online Auctions, 1999, pages 1-22.	

Examiner	Date Considered	
Signature	Considered	-

All References Have Been Considered: Examiner

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

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